

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**PATENT APPLICATION**

**REQUEST FOR FILING APPLICATION**

**Under Rule 53(a), (b) & (f)**

**(No Filing Fee or Oath/Declaration)**

(Do NOT use for Provisional or PCT Applications)

Use for Design or Utility Applications



00909

Jc860 U.S. PTO



04/27/01

Hon. Commissioner of Patents  
Washington, DC 20231

## RULE 53(f) NO DECLARATION

Atty. Dkt.

P 0280189

UQ150684/WO

M#

Client Ref

Date:

April 27, 2001

Sir:

1. This is a Request for filing a new Patent Application (☐ Design ☒ Utility) entitled:

2. (Complete) Title:

LUBRICANT COMPOSITIONS

without a filing fee or Oath/Declaration but for which is enclosed the following:

3. ☒ Abstract 1 page(s).

4. 8 Pages of Specification (only spec. and claims);

5. ☐ Specification in non-English language

6. 10 Numbered claim(s); and

☐ Drawings:        sheet(s) ☐ 1 set informal;

8. ☐ formal of size: ☐ A4 ☐ 11"

**DOMESTIC/INTERNATIONAL** priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1) PCT/GB99/03560	October 27, 1999	(2)	
(3)		(4)	
(5)		(6)	

10. **FOREIGN** priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in

Great Britain

Application No.	Filing Date	Application No.	Filing Date
(1) 9823455.2	October 28, 1998	(2)	
(3)		(4)	
(5)		<input type="checkbox"/> See 3 <sup>rd</sup> page for additional priorities	

11.        (No.) Certified copy (copies):  
in U.S. Application No.        /       

☐ attached;

☐ previously filed (date)         
filed on       

12. ☐ This is a reissue of Patent No.       

13. ☐ See top first page re prior Provisional, National, International application(s) (X box only if info is there and do not complete item 14 or 15.)

14. This application claims benefit of the following prior US application(s), the contents of which are incorporated into this application by this reference:

No. 99/03560 filed October 27, 1999

No. / filed       

No. / filed       

No. PCT / filed       

Designated the U.S. and that International Application ☐ was ☐ was not published under PCT Article 21(2) in English

15. ☒ See the attached Preliminary Amendment, which amends the specification to claim benefit of the above listed US applications

16. Extension to date: ☐ concurrently filed ☐ not needed ☐ previously filed

17. ☐ Small Entity Status is claimed (**pre-filing confirmation required**)

17(a) ☐ Attached: (No.) Small Entity Statement(s). (Since 9/8/00 Small Entity Statement not essential to make claim)

17(b) ☐ See **NONPUBLICATION REQUEST** under Rule 213(a) attached (Pat-258)

18. ☐ Assignee (optional): \_\_\_\_\_

19. ☒ Attached: Preliminary Amendment, Information Disclosure States, PTO-1449, International Search Report, International Preliminary Examination Report, Specifications with claims and abstract

20. This application is made by the following named inventor(s) (Double check instructions for accuracy.):  
- (Listing of inventor(s) not a requirement, but list if known)

(1) Inventor	Robert	I.G.	THOMPSON
	First	Middle Initial	Family Name
Residence	Cleveland	United Kingdom	United Kingdom
	City	State/Foreign Country	Country of Citizenship
Mailing Address	18 The Avenue, Redcar, Cleveland, United Kingdom		
(include Zip Code)	TS10 2AP		

(2) Inventor	Peter	T.	GIBB
	First	Middle Initial	Family Name
Residence	Cleveland	United Kingdom	United Kingdom
	City	State/Foreign Country	Country of Citizenship
Mailing Address	23 Montagu's Harrier, Guisborough, Cleveland, United Kingdom		
(include Zip Code)	TS14 8PB		

(3) Inventor	Steven.	J.	RANDLES
	First	Middle Initial	Family Name
Residence	Cleveland	United Kingdom	United Kingdom
	City	State/Foreign Country	Country of Citizenship
Mailing Address	22 Vernon Court, Stainton, Middlesbrough, Cleveland, United Kingdom		
(include Zip Code)	TS8 9DQ		

(4) Inventor	Mitchell		CORNER
	First	Middle Initial	Family Name
Residence	Cleveland	United Kingdom	United Kingdom
	City	State/Foreign Country	Country of Citizenship
Mailing Address	26 Broxa Close, Redcar, Cleveland, United Kingdom		
(include Zip Code)	TS10 4HX		

(5) Inventor			
	First	Middle Initial	Family Name
Residence			
	City	State/Foreign Country	Country of Citizenship
Mailing Address			
(include Zip Code)			


21. NOTE: FOR ADDITIONAL INVENTORS, "X" ☐ and list additional inventors on attached sheet (incorporated by reference)

Pillsbury Winthrop LLP  
Intellectual Property Group



00909

By: Atty: Paul L. Sharer Reg. No. 36004

Sig:  Fax: (202) 822-0944  
Tel: (202) 861-3649

Atty/Sec: PLS/pdn

NOTE: File in duplicate with 2 post card receipts (PAT-103) & attachments